# THE UTILITY OF TRANSFORMERS

François Fleuret



The key technology of "modern Al" are the deep convolutional models.

- They are powerful function approximators.
- Scale well with data set size and computation.
- Fitting for hierarchical signal structures.



Neocognitron (Fukushima, 1980)









A convolution applies the same linear operation at every location in the signal.



Such mechanisms are very efficient for image or sound processing where the signal is stationary and local structures are very informative.

However some tasks involve more than hierarchical structures, e.g. translation:

"An apple that had been on the tree in the garden for weeks had finally been **picked up**."

**"Une pomme** qui était sur l'arbre du jardin depuis des semaines avait finalement été **ramassée.**"

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It has motivated the development of **attention-based processing** to transport information from parts of the signal to other parts dynamically identified.

















$$A = \text{softmax}_{row} \left(\frac{QK^{\top}}{\sqrt{d}}\right)$$
$$Y = AV.$$

#### Single-head attention operation

A standard attention layer takes as input two sequences X and X' and computes

$$K = XW^{K^{\top}}$$

$$V = X'W^{V^{\top}}$$

$$Q = X'W^{Q^{\top}}$$

$$A = \text{softmax}_{row} \left(\frac{QK^{\top}}{\sqrt{d}}\right)$$

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When X = X', this is **self attention**, otherwise **cross attention**.

It may be useful to mask the attention matrix, for instance in the case of self-attention, for computational reasons, or to make the model causal for auto-regression.













```
Sequential(
  (0): Conv1d(1, 64, kernel_size=(5,), stride=(1,), padding=(2,))
  (1): ReLU()
  (2): Conv1d(64, 64, kernel_size=(5,), stride=(1,), padding=(2,))
  (3): ReLU()
  (4): Conv1d(64, 64, kernel_size=(5,), stride=(1,), padding=(2,))
  (5): ReLU()
  (6): Conv1d(64, 64, kernel_size=(5,), stride=(1,), padding=(2,))
  (7): ReLU()
  (8): Conv1d(64, 1, kernel_size=(5,), stride=(1,), padding=(2,))
```

)



The poor performance of this model is not surprising given its inability to channel information from "far away" in the signal.

More layers, global averaging, or fully connected layers could possibly solve the problem. However it is more natural to equip the model with the ability to fetch information from parts of the signal that it actively identifies as relevant.

This is exactly what an **attention layer** does.

```
class SelfAttentionLayer(nn.Module):
    def __init__(self, in_dim, out_dim, key_dim):
        super().__init__()
        self.conv_Q = nn.Convld(in_dim, key_dim, kernel_size = 1, bias = False)
        self.conv_K = nn.Convld(in_dim, key_dim, kernel_size = 1, bias = False)
        self.conv_V = nn.Convld(in_dim, out_dim, kernel_size = 1, bias = False)
        def forward(self, x):
        Q = self.conv_Q(x)
        K = self.conv_K(x)
        V = self.conv_V(x)
        A = torch.einsum('nct,ncs->nts', Q, K).softmax(2)
        y = torch.einsum('nts,ncs->nct', A, V)
        return v
```

```
class SelfAttentionLayer(nn.Module):
    def init (self, in dim, out dim, kev dim):
        super(). init ()
        self.conv_Q = nn.Conv1d(in_dim, key_dim, kernel_size = 1, bias = False)
        self.conv_K = nn.Conv1d(in_dim, key_dim, kernel_size = 1, bias = False)
        self.conv V = nn.Conv1d(in dim. out dim. kernel size = 1. bias = False)
    def forward(self. x):
        Q = self.conv_Q(x)
        K = self.conv K(x)
        V = self.conv V(x)
        A = torch.einsum('nct,ncs->nts', Q, K).softmax(2)
        v = torch.einsum('nts.ncs->nct', A, V)
        return v
Sequential(
  (0): Conv1d(1, 64, kernel_size=(5,), stride=(1,), padding=(2,))
  (1): ReLU()
  (2): Conv1d(64, 64, kernel_size=(5,), stride=(1,), padding=(2,))
  (3): ReLU()
  (4): SelfAttentionLaver(in channels=64, out channels=64, key channels=64)
  (5): Conv1d(64, 64, kernel_size=(5,), stride=(1,), padding=(2,))
  (6): ReLU()
  (7): Conv1d(64, 1, kernel_size=(5,), stride=(1,), padding=(2,))
)
```



The standard transformer model combines a stack of self-attention layers in an encoder, and a stack of self-attention and cross-attention layers in a decoder.



(Vaswani et al., 2017)

Transformers exhibit extremely good transfer capabilities and scale well.



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All SoTA methods across NLP tasks are transformer-based, and these models are getting on par or SoTA in computer vision.

Large language models have been shown to exhibit some "zero shot learning" capabilities when they are properly "primed" (Brown et al., 2020).

For instance using HuggingFace's gpt2-x1 model with 1.6B parameters, we can get these sentence completions, where the priming text is between <>:

<Cherry is red, lettuce is green, lemon is> yellow, and orange is blue.

<Cherry is sweet, lettuce is bland, lemon is> sour, and orange is bitter.

<Cherry is a fruit, lettuce is a vegetable, lemon is> a fruit, and so on.

#### Explaining a Joke

#### I will explain these jokes:

- (1) The problem with kleptomaniacs is that they always take things literally.
- Explanation: This joke is wordplay. Someone who "takes things literally" is someone who doesn't fully understand social cues and context, which is a negative trait. But the definition of kleptomania is someone who literally takes things.
- (2) Always borrow money from a pessimist. They'll never expect it back.
- Explanation: Most people expect you to pay them back when you borrow money, however a pessimist is someone who always assumes the worst, so if you borrow money from them, they will expect that you won't pay them back anyways.

Input: I was supposed to start writing the paper at 5:00 PM. But then I started playing with this cool new language model for 10 minutes. 10 minutes later, it's suddenly 9:30 PM!

ModelOutput: This joke is about how time flies when you're having fun. The person was supposed to start writing a paper at 5:00 PM, but they started playing with a language model instead. They thought they were only playing with it for 10 minutes, but it was actually 4.5 hours.

Input: I was going to fly to visit my family on April 6th. My mom said "Oh great, your step dad's poetry reading is that night!" So now I'm flying in on April 7th.

Model Output: The joke is that the speaker's mother is trying to get them to go to their step dad's poetry reading, but the speaker doesn't want to go, so they are changing their flight to the day after the poetry reading.

Input: Reading "War and Peace" doesn't scare me. I once had to review the CL for my intern's whole project on his final day!

Model Output: 'War and Peace' is a very long book, so reading it is a daunting task. However, the speaker is saying they had to review the code for their intern's project on their final day, which is even more daunting. Input: I think I understand why me and my partner are such a good match. I get excited when I smell food and become super alert when the doorbell rings. My girlfriend Shelley becomes ecstatic when she sees a person who she just saw 5 hours ago.

ModelOutput: This joke is about dogs. Dogs get excited when they smell food and when the doorbell rings. The girlfriend is excited to see someone she just saw 5 hours ago, which is a trait of dogs.

Input I tried 10,000 random restarts of wy neural network, but I uss accused of overfitting. I guess no good seed goes unpunished. Model Output: This joke is a pun. A neural network is a computer program that can learn from data. A "seed" is a number that is used to initialize a random number generator. A "good seed" is a number that produces a good random number generator. The phrase "no good deed goes unpunished" means that if you do something good, you will be punished for it.

Input: What's the difference between a zebra and an umbrella? One is a striped animal related to horses, another is a device you use to stop the rain from falling on you.

Model Output: This joke is an anti-joke. The joke is that the answer is obvious, and the joke is that you were expecting a funny answer.

#### (Chowdhery et al., 2022)



(Dosovitskiy et al., 2020)

## PICOCLVR



The PicoCLVR is a toy task designed to assess the ability of an attention-based auto-regressive model to generate an image composed of elements whose positions are constrained by a series of NLP statements.

Each sample is generated by creating a 12  $\times$  16 image with up to 5 colored pixels drawn at random locations, and then by sampling a few Boolean properties regarding their placement.

Such a sample is encoded as a sequence of tokens for the properties first, separated by a specific token, followed by the image encoded as a sequence of pixels in raster-scan order.

vellow right of red <sep> there is green <sep> black below red <sep> green above yellow <sep> green left of red <sep> black left of red <sep> green left of black <sep> black left of yellow <img> white green white vellow white red white black white white

		_
	_	

Training examples.

black below yellow <sep> black below green <sep> yellow right of green <sep> yellow right of red <sep> red left of yellow <sep> yellow above black <sep> green left of yellow <sep> yellow below green



green below red <sep> black right of green <sep> red left of black



Training examples.

yellow right of red <sep> there is red



yellow right of red <sep> there is green <sep> black below red <sep> green above yellow <sep> green left of red <sep> black left of red <sep> green left of black <sep> black left of yellow

_
_

Training examples.

blue left <sep> blue top <sep> there is blue



blue bottom <sep> there is black <sep> blue below green <sep> red right of green <sep> blue below red <sep> red top



We use a standard causal transformer encoder with the following parameterization (38M parameters):

dim\_model 512 dim\_keys 64 dim\_hidden 2048 nb\_heads 8 nb\_blocks 12 dropout 0.1

Training is done with 250k samples and the following setup:

nb\_epochs 50 batch\_size 25 optim adam learning\_rate 0.0001 learning\_rate\_schedule 10: 2e-5,30: 4e-6



Perplexity



Test examples.

red above green <sep> green top <sep> blue right of red



there is red <sep> there is yellow <sep> there is blue



Test examples.

red below yellow <sep> yellow below green <sep> green below blue <sep> red right <sep> yellow left <sep> green right <sep> blue left



green bottom <sep> yellow bottom <sep> green left of blue <sep> yellow right of blue <sep> blue top



# WIND PREDICTION ON AIRPLANE TRAJECTORIES







- Wind conditions are very important for air traffic control.
- Measurements are available only a 2-3 times per days.
- Controllers often infer the wind conditions from the aircrafts behavior.
- Aircrafts broadcast every 4s their position, pressure, and air speed.

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Method	MSE
<i>k</i> -NN	10.19
GKA	10.38
GKA + MLP	9.65
Transformer	9.12

## GEOMETRIC RADIANCE FIELD MODELING







- Given a series of images of a scene from different angles with their camera calibration, build a view from a novel position.
- Deal with specularities and reflections by modeling the radiance field.















(video)

(video)

(video)

#### IMITATION LEARNING IN MINECRAFT



- Image-based, first-person 3d perspective.
- Rich environment, short-term navigation constraints and trajectory control.
- Complex multi sub-tasked long-term planning.
- Learning a policy by imitation, from hundreds of recorded games.



(video)









(video)

## Conclusion

- Transformers works well in many application domains.
- They scale very well.
- They can be pre-trained / fine-tuned.
- They are likely here to stay.

# Questions?

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